12-22-04

IFW

CERTIFICATE OI Applicant(s): Takehir	Docket No. 15689.49.7			
Application No. 10/674,003	Customer No. 022913	Group Art Unit		
Invention: BASE STA	0	E 2 1 2004 55		
I hereby certify that t	he following corresponde	AJBADEMARKS		
	(I	dentify type of correspondence)	•	
• .		stal Service "Express Mail Post Office missioner for Patents, P.O. Box 1450,		
•		Adrian (Typed or Printed Name of Person (Signature of Person Main	on Mailing Correspond	ence)
		("Express Mail" Maili	ng Label Number)	

Note: Each paper must have its own certificate of mailing.

Transmittal for Information Disclosure Statement (1 page; duplicate); Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages); Form PTO-1449 Listing of References (2 page); Legible Copy of References (10 references); Certificate of Express Mail (EV565664192US); Acknowledgment Postcard

TRANSMIT	Docket No. 15689.49.7									
In Re Application Of: Takehiro Nakamura et al.										
Application No	Filing Date	Group Art Unit	Confirmation No.							
10/674,003	9/29/2003	-	022913	-	<u>-</u>					
Title:										
BASE STATIO	N IN MOBILE COMM	UNICATION SYSTEM								
				•						
		Address to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-14								
		37 CFR 1.97(b)								
of a na three m applica	ional application other onths of the date of er ion; before the mailing	atement submitted herewith is be than a continued prosecution atry of the national stage as set of a first Office Action on the meest for continued examination ur	application und forth in 37 CF erits, or before	der 37 CFR 1.53 R 1.491 in an in the mailing of a	3(d); within ternational					
		37 CFR 1.97(c)								
CFR 1. Final A	2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:									
□ t	ne statement specified	in 37 CFR 1.9 7(e) ;								
		OR								
□ t	ne fee set forth in 37 Cl	FR 1.17(p).								

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						cket No. 689.49.7	
In Re Application: Takehiro Nakamura et al.							
Application No.	Filing Date	Examilier -	<i>i</i>	Cüstomer No.	Group Art Unit	Confirmation No.	
10/674,003	9/29/2003	-	TRADENT	022913	-	<u> </u>	
Title:							
BASE STATION I	N MOBILE COMMI	UNICATION SYSTE	М				
	(Only con	Payme plete if Applicant elects	nt of Fee s to pay the f	fee set forth in 37	CFR 1.17(p))		
☐ A check in		is attach					
		ed to charge and cred	lit Deposit	Account No. · 2	23-3178		
⊠ Cre	arge the amount of edit any overpayment arge any additional fo						
_	•	TO-2038 is attached	•				
WARNING: included o	: Information on thi n this form. Provide	s form may become e credit card inform	public. C	redit card info authorization	rmation should on PTO-2038.	l not be	
	ate of Transmission b				ing by First Clas	s Mail	
I certify that this account is bein	document and authoriza g facsimile transmitted emark Office (Fax. No.	tion to charge deposit	I hereby of with the U as first "Commiss	certify that this con Inited States Posta class mail in	respondence is bei al Service with suffi an envelope a P.O. Box 1450, Ale	ing deposited cient postage ddressed to	
(Date)				(Date)	•		
	Signature			Signature of Per	son Mailing Correspo	ndence	
Typed or P	rinted Name of Person Sig	ning Certificate	Тур	ed or Printed Name	of Person Mailing Co	ertificate	
*This certific	ate may only be used	if paying by					
	Signature		Dated:	December 21,	2004		
Adrian J. Lee	O Signature						
Registration No.: 42							
Attorney for Applica	ant						
cc:							

EXPRESS MAIL NO. EV 565664192 US



PATENT APPLICATION
Docket No: 15689.49,7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
	Takehiro Nakamura et al.)
Serial No.:	10/674,003) Art Unit)
Filed:	September 29, 2003)
For:	BASE STATION IN MOBILE COMMUNICATION SYSTEM)))
Customer No.:	022913))

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a

translation into English, no concise explanation of relevance is required under 37 C.F.R. §

1.98(a)(3).

DATED December 21, 2004.

Respectfully submitted,

Adrian J. Lee

Attorney for Applicant

Registration No. 42,785

Customer No. 022913

AJL: ds

DS0000002912V001

2

	Docket Number (Optional) Application Number 15689.49.7 10/674,003									
INFORMATION DISCLOSURE CITATION Applicant(s)										
Filing Date Group Art Unit										
DEC 7 1 2004 [42] 9/29/2003 -										
*EXAMINER			DATE THAT				THE DIG DATE			
INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE					
/O.A./	1	5,265,119	11/23/1993	Gilhousen et al.	375	1	5/17/1991			
-	-									
			U.S. PATENT	APPLICATION PUBLICATION	s		<u> </u>			
*EXAMINER	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	CIDCLACE	FILING DATE			
INITIAL	REF	DOCOMENT NOMBER	DATE	NAME	CLASS	SUBCLASS FILENG DATE IF APPROPRIATE				
					_,					
			FORE	IGN PATENT DOCUMENTS						
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO			
/O.A./	4	0 681 406 A1	11/8/1995	Europe	H04Q7	●4	/			
/O.A./	3	0 534 612 A2	3/31/1993	Europe	H04Q7	04	/			
/O.A./	4	0 490 554 A2	6/17/1992	Europe	H04Q7	04	J			
/O.A./	5	WO 97/07600	2/27/1997	WIPO	H04B7	005	/			
/O.A./	5	WO 96/27269	9/6/1996	WIPO	H04Q7	30	/			
			OTHER D	OCUMENTS (Including A	uthor, Title, Date, 1	Pertinent Pages, E	ic.)			
EXAMINE	R	/Olumide Ajibade Ako	nai/	DATE CONSIDERED	05/18/2009					
	EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

						ATTY DOCKET NO.	P	APPLICATION I	NO.	
16						15689.49.	7	10/	674,003	
	IN	ORM	ATION DISCLOSUR	E CITATION	12	APPLICANT(S)				
		(Use several sheets if nece	ssary) O	(v)	Takehiro Nakamura				
				1	6	FILING DATE		GROUP ART U	NIT	
A				DEC 2 1 20	194 (2)	September 29,	, 2003		-	
				U.S	6	T DOCUMENTS				
*EXAMINER			DOCUMENT NUMBER	BATEADEN	R. C.	NAME	CLASS	SUBCLASS	FILING DAT	
INITIAL	╁	+							IF APPROP	RIATE
										
	.1			U.S. PATEN	IT APPLIC	CATION PUBLICATIONS	•	•	•	
*EXAMINER			DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
			· · · · · · · · · · · · · · · · · · ·							•
			· · · · · · · · · · · · · · · · · · ·		<u> </u>	<u> </u>				
	<u> </u>			FORE	IGN PAT	ENT DOCUMENTS			1	
			DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	LATION NO
/O.A./	7	EP	0 758 168 A1	2/12/1997	Europe		H04J13	04	1	
/O.A./	8	wo	95/31879	11/23/1995	WIPO		H04Q7	22	1	
/O.A./	9	EP	0652650 B1	5/10/1995	Europe		H04B7	26	1	
	<u> </u>		OTHER DOCUM	•	-	r, Title, Date, Pertiner	nt Pages, Etc.))	•	·
/O.A./		10	Multirate Spread Spec XP 000570787 H. Azad, A.H.Aghvam 1994	ctrum Direct Seque ii	ence CDM	1A techniques				
		-						<u></u>		
EXAMINE	R		/Olumide Ajibade	Akonai/		DATE CONSIDERED	05/18/2009		·	
						mance with MPEP 609; Dra	aw line through c	itation if not in o	conformance	e and not
considere	d. In	clude c	opy of this form with next of	communication to ap	oplicant.					

Form PTO-A820 (also form PTO-1449)